GAP Grant Writing Basics



ANTHC Webinar Training Series

This training series is made possible through an Indian General Assistance Program (IGAP) grant from the U.S. EPA.



The current training schedule was developed based on unmet training needs expressed by tribal programs during statewide gatherings, training sessions and during one-on-one discussions.

We are not EPA employees. Specific questions relating your individual IGAP grant may need to be referred to your EPA Project Officer.

Information and examples provided during this training were compiled from publically available GAP resources developed by ANTHC, EPA and others.

What is IGAP?

- EPA Indian Environmental General Assistance Program.
- Grants or cooperative agreements to tribes & tribal consortia. Range between \$75k \$128k per year for up to four years.
- Provided to help <u>build tribal capacity</u> to plan, develop and administer tribal environmental protection programs consistent with the federal laws that the EPA is charged with implementing.
- Intended to assist tribes with opportunities for meaningful participation in policy making and standard setting.

GAP Program Guides

GAP Guidance (May 2013)

INDIAN ENVIRONMENTAL GENERAL ASSISTANCE PROGRAM

Guidance on the Award and Management of General Assistance Agreements for Tribes and Intertribal Consortia

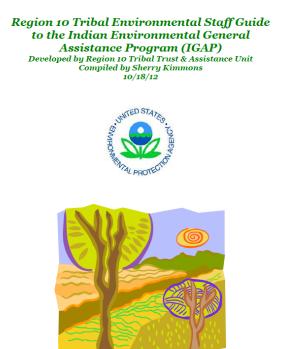


May 15, 2013

U.S. Environmental Protection Agency Office of International and Tribal Affairs American Indian Environmental Office

http://www2.epa.gov/sites/production/files/2015-05/documents/gap-guidance-final.pdf

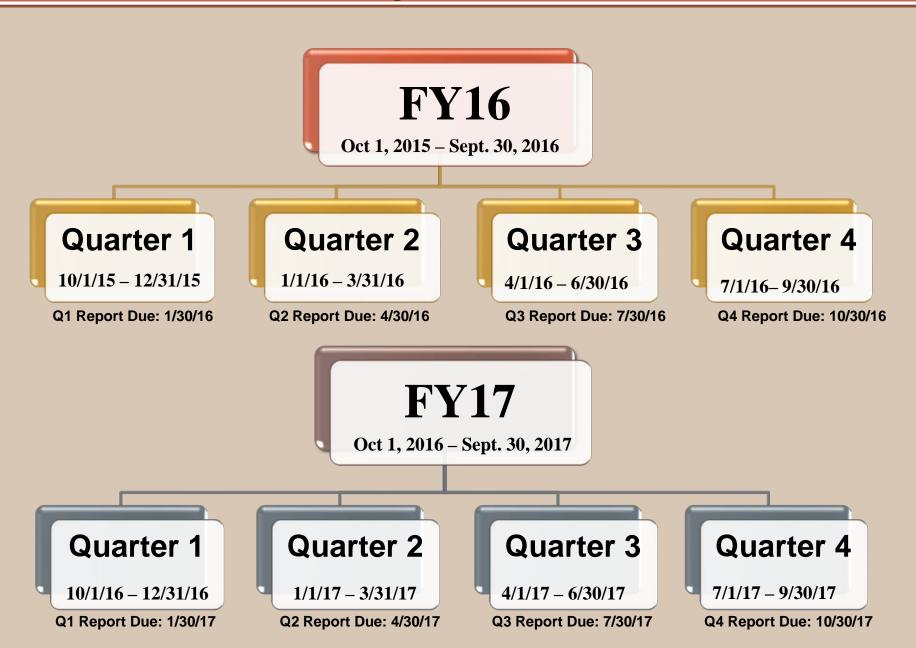
Region 10 Tribal Environmental Staff Guide



SEPA

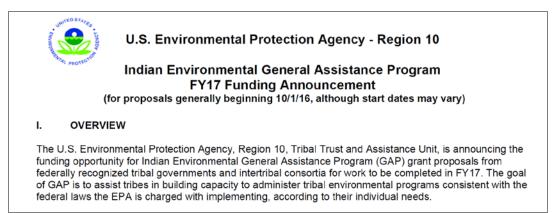
http://itepsrv1.itep.nau.edu/itep_course_downloads/Anchorage_11S WM_Haz/Day1/ActionPlans/Region%2010%20Tribal%20Environme ntal%20Staff%20Guide%20to%20the%20IGAP%20Program.pdf

Understanding the Federal Fiscal Year



The Funding Announcement

• The Funding Announcement outlines the requirements and process.



- Provides detailed instructions for GAP applications including:
 - * Eligibility

* Application Process

- * Important Dates
- * Elements that need to be included
- * Requirements

Region 10 Tribal Environmental GAP Funding Announcement:

http://www2.epa.gov/tribal/region-10-tribal-environmental-gap-funding#documents

Region 10 - FY17 Funding Announcement Highlights

- For proposals that will begin on 10/1/16 draft work-plans and budgets are due on 1/15/2016 (some start dates may vary)
- All Applicants need to register in Grants.gov http://www.grants.gov/web/grants/applicants/organization-registration.html
- Optional Proposal Review Checklist
- RTOC Hosted Tribal Leader Summit in March 2017
- EPA Will continue to Fund Solid Waste and Source Separation (Recycling) Service Activities under GAP
- Region 10 encourages the development and implementation of Integrated Waste Management Plans (IWMPs)
- Greener Grants Policy

FY17 Funding Announcement Attachment A: Instruction Guide for Grants.gov

Region 10 - FY17 Funding Announcement REMINDERS

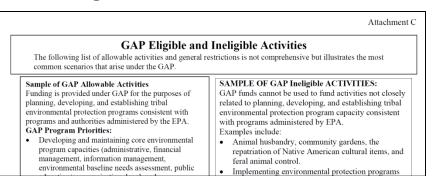
- Rolling Schedule for Grant Application Deadlines established by your Project Officer.
- Base GAP funding requests range from \$75,000 to \$128,000
- GAP Grants must be closed out every four years
- SAM Registration
- Capacity Indicators
- The 2013 GAP Guidance requires EPA-Tribal Environmental Plans (ETEPs)
- Success Stories / Lessons Learned

FY17 Funding Announcement Attachment A: Instruction Guide for Grants.gov

How can IGAP funds be used?

Activities eligible for funding under IGAP are those for planning, developing and establishing capability to implement environment protection programs including development of solid and hazardous waste programs.

• FY17 Funding Announcement Attachment C:



• FY17 Funding Announcement Attachment D:

Attachment D

Building a Self-Sustaining Tribal Solid Waste Program: Recommended Phases and Activities to Consider

Primary Tool: Tribal Decision Maker's Guide to Solid Waste Management http://archive.epa.gov/wastes/wyl/web/pdf/trib-dmg.pdf

WHY BUILD A SELF-SUSTAINING SOLID WASTE PROGRAM?



Have questions about Allowable Costs?

Is it allowed under 2 CFR Part 200 and 1500
 "Cost Principles for State, Local and Indian Tribal Governments"

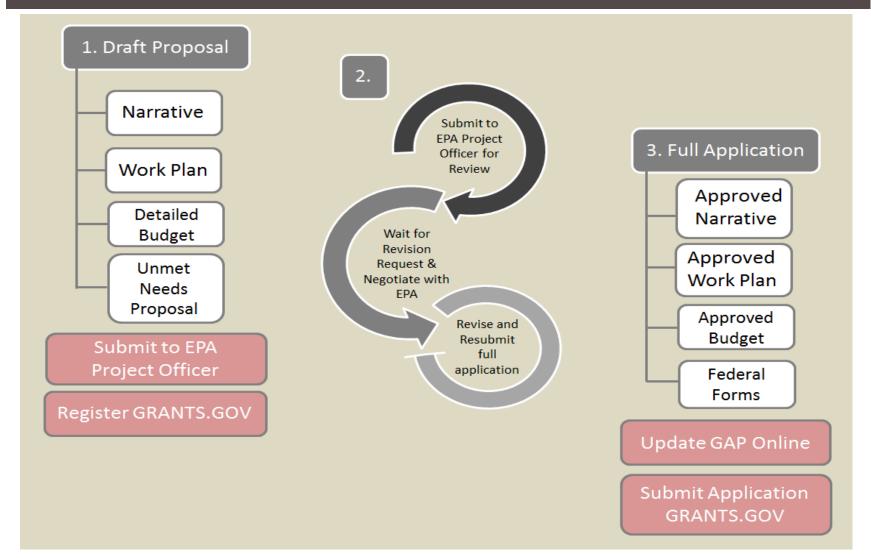
- EPA General Terms and Conditions Effective December 26, 2014
- http://www.epa.gov/ogd/tc/general_tc_applicable_aa_recipients_dec_26_2014.pdf
- 2 CFR Part 200:

http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Titleo2/2cfr2oo_main_o2.tpl

• 2 CFR Part 1500:

http://www.ecfr.gov/cgi-bin/text-idx?SID=9dad727f83od7c452669df3ofc406fee&node=pt2.1.1500&rgn=div5

IGAP Application Process Overview



IGAP Application

- Narrative (Draft proposal due 1/15/16)
- Work Plan (Draft proposal due 1/15/16)
- Budget (Draft proposal due 1/15/16)
- Federal Forms (Full application)
 - ~ SF424 Application for Federal Domestic Assistance
 - ~ SF424a Budget Information form
 - ~ SF424b Assurances Non-Construction programs (1st year only)
 - ~ EPA form 4700-4 Pre-award Compliance Review (1st year only)
 - ~ Key Contacts Form
 - ~ Current Indirect rate or letter of application
- Current SAM registration

IGAP Application Package

To download the application package:

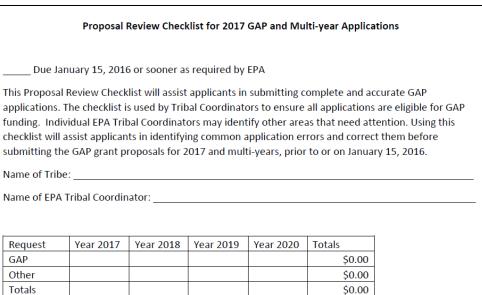
http://www.grants.gov/web/grants/applicants/download-application-package.html

Search CFDA Number: 66.926 | Click on "Select Package" | Enter email | Click on "Download Package" |Open

GRANTS.GO	Grant Application Package	Print	Cance
Opportunity Title:	EPA Mandatory Grant Programs		
Offering Agency:	Environmental Protection Agency		
CFDA Number:	66.926		
CFDA Description:	Indian Environmental General Assistance Program (GAP)		
Opportunity Number:	EPA-CEP-02		
Competition ID:			
Opportunity Open Date:			
Opportunity Close Date:	10/04/2020		
Agency Contact:	Please consult your regional office.		

Proposal Process – 2017 Review Checklist

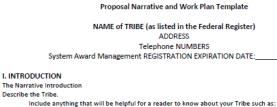
- Optional to use
- Use before proposal deadline
- Helps review draft work-plans
- Helps review draft budgets
- Intended to help reduce time dedicated to negotiations



Expected to streamline the review and award process

Notes

Proposal Process – Narrative



- Where is it located?
- Cultural groups?
- How many members?
- Community population?

II. ADMINISTRATIVE CAPACITY

Detail the tribe's administrative capacity:

- Is the tribe a compacting or self-governance tribe?
- Does it have regular audits?
 - What other programs are administered by the tribe?
 - How many employees does the tribe have?
 - Does the tribe have current policies and procedures?
 - Does the tribe have financial policies and systems?
 - Have there been any administrative or financial issues in the past?

Document the tribe's history with the GAP program.

- How long has the tribe had the GAP grant?
- What accomplishments have been made with GAP?
- Has the tribe achieved all of its goals?
- Have there been any issues in the past?
- List any changes or corrective actions.

III. ENVIRONMENTAL ISSUES

Provide background information about the environmental issues to be addressed by your work plan.

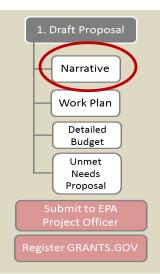
This section should include a narrative description of risks to human health and the environment and their relation to any Tribal Environmental Plan, environmental assessment, or strategy efforts conducted to date.

- Describe the environmental problems to be addressed with this work plan.
- What has been tried in the past to address these problems?
- Who are your partners?

List the long term environmental goals that will be supported by the work plan.

- What is the change that the tribe is working toward?
- Is this is a long term outcome?
- Remember that outcomes can be accomplished after the grant's project period is completed.

- Required the first year of a multi-year grant cycle
- Summary of the Tribe
- Description of environmental and health issues
- Description of capacity building efforts needed and history of GAP
- Include and Org Chart or description of structure
- List any other funding sources



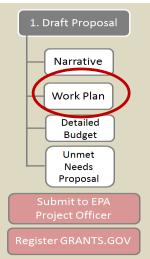
Proposal Process – Work plan

General Work Plan Requirements:

Applicants must submit draft work plans in the standardized template, or directly into GAP Online.

Work Plans must not duplicate prior efforts; they should demonstrate clear progress and that capacity is being built over time or specify new focus areas. **Duplicates of prior year work plans will be rejected by the EPA Project officer and the grant application may be denied.**

For Multi-year proposals, a separate work plan must be included for each year.



Understanding your Work plan

		IGAP Work Plan	for FY17	
Regio	n: Region 10 Plan Period Begin: October 1, 20 End: September 30, 20			
Work	Plan Component 1: ***EXAMPLE*** Conduct a community environment	nental assessment	to prioritize en	vironmental issues.
		(h h.)		
Capad	city Indicator Developed (see GAP Guidebook to select one or more	that apply):		
	nnel:			
Long-	Term Outcome (s) (Changes in the Environment, Public Health, Beh	avior or Knowledg	;e):	
:				
•				
Interr	nediate Outcome (s) (this work plan period):			
•				
•				
Estim	ated Cost			Estimated Work Years
	T			
	COMMITMENTS	ESTIMATED TASK COST (optional)	END DATE	OUTPUTS AND DELIVERABLES
1.1	***EXAMPLE*** Tribe will develop a flier and hang in local businesses to solicit residents who are interested in being a part of an environmental committee.		10/30/14	 Environmental committee announcement flier Number of people interested
1.2	*** EXAMPLE *** People interested in joining will be contacted and given more information. We will talk to people in person if more people are needed.		3/31/15	- List of environmental committee
				-
	To add rows for additional commitments, place your mouse to			-
	the very left of the row and click. It will highlight the row. Right-			
	click and choose "insert" and select "add row below". To delete			
	rows, highlight the ones you want to delete and choose "delete rows" instead of "insert".			

Understanding your Work plan

IGAP WORKPLAN COMPONENT

Workplan **components** are objectives, or planned accomplishments of your proposal.

The overall description of tasks to help you reach your identified Long-Term Outcome(s) and/or Intermediate Outcome(s)

EXAMPLE Conduct a community environmental assessment to prioritize environmental issues. EXAMPLE

Develop an EPA-Tribal Environmental Plan.

IGAP WORKPLAN COMMITTMENTS

Workplan **commitments** are the tasks that need to be completed to fulfill the workplan component.

The work activities that will be done during the workplan year.

EXAMPLE

Tribe will develop a flier to solicit residents who are interest in being a part of an environmental committee.

EXAMPLE

IGAP Staff and Tribal Administrator will attend training to learn how to develop an environmental plan.

OUTCOMES = DESIRED GOALS

An **OUTCOME** can be described as something you vision or dream accomplished, the steps you take to reach that vision or dream, a desired direction on where you would like your community or program to go, something that enhances changes in knowledge or behavior for your community, or a description of what you would like achieved in the mid-term or long-term future!

EXAMPLE

A Cleaner and Safer Community

EXAMPLE

Increased Community Understanding of Air Quality

OUTPUTS = PROOF

In Order to achieve you're Outcomes (goals), you must show your work with your **OUTPUTS** (proof). An output is something that is tangible, something you can touch, hold in your hand, and something that you can show as proof!

EXAMPLE

Meeting Agendas

EXAMPLE

Newsletters

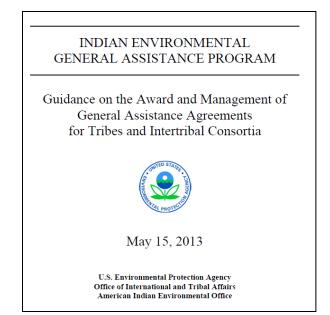
Understanding your Work plan

Work-plan Years & Estimated Costs

GAP Budget's Total work years Work-plan's Estimated costs

Estimated % of grant 15% (.15) 25% (.25)	Estimated Work Year (time) 27% (.27) 45% (.45)	\$ \$18,750.00 \$31,250.00
15% (.15) 25%	27% (.27) 45%	. ,
(.15) 25%	(.27) 45%	. ,
25%	45%	\$31,250.00
		\$31,250.00
(.25)	(45)	
	(.+5)	
25%	45%	\$31,250.00
(.25)	(.45)	
35%	62%	\$43,750.00
(.35)	(.62)	-
100%	179%	\$125,000.00
	1.79	
-	25% (.25) 35% (.35) 100%	25% 45% (.25) (.45) 35% 62% (.35) (.62) 100% 179%

GAP Budget's Total Work Years = GAP Work Plan's Estimated Costs



Appendix I

Guidebook for Building Tribal Environmental Program Capacity

Writing your Work plan

IGAP Work Plan for FY 17 Tribe: Region: Region 10 Work Plan Period Begin: October 1, 20 End: September 30, 20								
Work Plan Component 1:								
Capacity Indicator Developed (see GAP Guidebook to select one or mor	e that apply):							
Long-Term Outcome (s) (Changes in the Environment, Public Health, Behavior or Knowledge):								
Estimated Cost			Estimated Work Years					
COMMITMENTS	ESTIMATED TASK COST (optional)	END DATE	OUTPUTS AND DELIVERABLES					

Proposal Process – Detailed Budget

A detailed budget must be submitted for each year in a multi-year work plan.

Budget Line Items:
Personnel
Fringe Benefits
Travel
Equipment
Supplies
Contractual
Consultants
Other – Building Lease, Internet fees, phone line, etc.
Indirect Costs



Include as an attachment when submitting a proposal in GAP Online

GAP Required Budget Form

http://www.epa.gov/tribalpor		dian Gener Detailed I & Cos _{Rev}	Print Form Budget Year			
ame of Grant Recipient:			- tite Circle	Date Submitted		*****
RSONNEL - List all st oject, and total cost for <i>ection B, Line 6.a.</i> Position/Title					d on Standard For * Total Work	
oject, and total cost for action B, Line 6.a.	or the project p	period. The tota	al for this cate	gory will be entered	d on Standard For	m 424A
oject, and total cost fo ction B, Line 6.a.	or the project p	period. The tota	al for this cate Work Years	gory will be entered	d on Standard For * Total Work Years * Total Work Years is measurement of staff spent on a project ac	o o a f time tivity
oject, and total cost fo ction B, Line 6.a.	or the project p	period. The tota	Work Years	gory will be entered	d on Standard For * Total Work Years * Total Work Years is measurement of staff	o a f time tivity ed to one 2080 hour
oject, and total cost fo ction B, Line 6.a.	or the project p	period. The tota	Work Years	gory will be entered	d on Standard For * Total Work Years * Total Work Years is measurement of staff spent on a project ac or activities, compare full-time work years are by adding the annual each staff position to	m 424A
oject, and total cost fo ction B, Line 6.a.	or the project p	period. The tota	Work Years 0 0 0 0	gory will be entered	d on Standard For * Total Work Years * Total Work Years is measurement of staff spent on a project ac or activities, compare full-time work years of Total work years are by adding the annual	m 424A 0 i a f time tivity d to one 2080 hours for gether the 2080 hours for gether the 2080 hours for gether the 2080 hours for

FY17 Funding Announcement Budget Template – Attachment F

ANTHC Budget Workbook - Optional

			IGAP Budget FY17						
			Reviewed (add date) by (Name of person doing the review)						
Topie	Item	Component	Description	Rate	Units	Total	Spent	Description & Date	Remaining
Salary									
	Env. Coordinator	40	hrs/wk @ \$20/hr (100% effort)	800.00	52	\$41,600.00			
	Env. Assistant	20	hrs/wk @ \$15/hr (.50% effort)	300.00	52	\$15,600.00			
	Administration	10	hrs/wk @ \$20/hr (.25% effort)	200.00	52	\$10,400.00			
	Fringe Benefits	Est	imated at 33% (See fringe explanation below)			\$22,308.00			
						\$89,908.00	\$0.00		\$89,908.0
Travel		1 R	CT for regional training	600.00	1	\$600.00			
Per Diem		4 d	ays @ \$180/day x 1 traveler	180.00	4	\$720.00			
		1 R	T to ATCEM Conference	1,000.00	1	\$1,000.00			
		6 d	ays @ \$180/day x l traveler	180.00	6	\$1,080.00			
		1 R	T to Anchorage for Quickbooks Training	1,000.00	1	\$1,000.00			
		6 d	ays @ \$180/day x l traveler	180.00	6	\$1,080.00			
						\$5,480.00	\$0.00		\$5,480.
Supplies	Office Supplies	Fol	ders, tablets, thumb-drives, highlighters, etc. @ \$120 per month	1,196.00	1	\$1,196.00			
		Co	mputer, printer and software for Coordinator and Assistant	1,000.00	2	\$2,000.00			
		She	alving for office + shipping	1,200.00	1	\$1,200.00			
		Rec	cycling containers	20.00	100	\$2,000.00			
		Wa	ater quality supplies	800.00	1	\$800.00			
						\$7,196.00	\$0.00		\$7,196.0
Equipment	ATV	AT	V for water quality data collection	8,000.00	1	\$8,000.00			
		Tra	ailer for ATV	600.00	1	\$600.00			
						\$8,600.00	\$0.00		\$8,600.0
Contractual	1					\$0.00			
						\$0.00	\$0.00		
Other		Tra	aining registration x 1	200.00	1	\$200.00			
		Co	mputer training software: Quickbooks, webinar, etc.	400.00	1	\$400.00			
		AT	'V Shipping	1,000.00	1	\$1,000.00			
		Of	fice Rent	600.00	12	\$7,200.00			
		Of	fice Utility	318.00	12	\$3,816.00			
		Gas	a for ATV	100.00	12	\$1,200.00			
						\$13,816.00	\$0.00		
		то	TAL DIRECT			\$125,000.00	\$0.00		\$125.000.0
Indirect			TAL INDIRECT			3123,000.00	30.00		\$123,000.
nullect			AND TOTAL			\$125,000.00	\$0.00		\$125,000.
	Fringe Rates		r according to the Employee's length of employment, leave accrual,						
		He	ealth plan and contributions to a 403 B.						

Estimated Cost / Work Years Template

1	.	n t	he	Bud	geti	temp	late	fill	in	the	Persona	Section
---	---	-----	----	-----	------	------	------	------	----	-----	---------	---------

- Position/Title
- Hourly Rate
- Number of Hours

The budget template AUTOMATICALLY CALCULATES

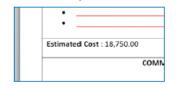
- Work Years
- Subtotal
- Personnel Total
- 2. The Total in "Total Work Years" (1.79 in this example). The grant budget template should be divided among the work-plan components in the "Estimated Work Years" section to add up to the "Total Work Years" amount. When breaking this out estimated percentage of time each component will take to complete. ** see the third column in the above table **



*From Budget Template

*Total divided up among the work-plan components

- Estimate the percent of the grant that will be used to complete each work-plan component. The end total
 must equal <u>100%</u>. Make sure it is a realistic estimate. (Some work will take more time/commitment than
 others) ** see the second column in the above table **
- Multiply the total funding amount by each estimated % of grant (column 2) to get total funding estimates for each work-plan component. Example: \$125,000.00 x .15 = \$18,750.00. (Column 4)
- 5. Enter each components estimated cost into the Work-plan.



	% grant used	Estimated Work Years	Estimated Cost
	100%	1.79	\$ 125,000.00
	The numbers in this column must equal 100%. Split this between each component	Enter the Estimated Work Year Total from the budget template into the grey box above. This column will automatically calculate	Enter the total grant budget into the grey box below. This column will automatically calculate
Component 1	20%	0.358	\$ 25,000.00
Component 2	22%	0.3938	\$ 27,500.00
Component 3	34%	0.6086	\$ 42,500.00
Component 4	24%	0.4296	\$ 30,000.00
Component 5			\$-
Component 6			\$ -
Remaining Amount *when all filled in, these will equal 0	0%	0	
Total:	100%	1.79	\$ 125,000.00

Submit Draft Proposal

	ates Environmental Protection Agency OALL EPA O THIS AREA Advanced Search						
Indian General Ass	sistance Program (GAP) Online v2.3.5						
GAP Online Home	You are here: EPA Home » American Indian Environmental Office » GAP Online						
About GAP Online	Login 📾 (Authorized users only)						
Contact Us							
	To login with existing Tribal-wide user account, please type in Username and Password, then click on the "Login" button. (Password is case sensitive.)						
	Username:						
	Password:						
	Login Forgot Password						
	** WARNING **						
	In proceeding and accessing U.S. Government information and information systems, you acknowledge that you fully understand and consent to all of the following:						
	 You are accessing U.S. Government information and information systems that are provided for official U.S. Government purposes only; Unauthorized access to or unauthorized use of U.S. Government information or information systems is subject to criminal, civil, administrative, or other lawful action; 						
	other rawful action; 3) the term U.S. Government information system includes systems operated on behalf of the U.S. Government; 4) you have no reasonable expectation of privacy regarding any communications or information used,transmitted, or stored on U.S. Government information systems;						
	 5) at any time, the U.S. Government may for any lawful government purpose, without notice, monitor, intercept, search, and seize any authorized or unauthorized communication to or from U.S. Government information systems or information used or stored on U.S. Government information systems; 6) at any time, the U.S. Government may for any lawful government purpose, search and seize any authorized device, to include non-U.S. Government owned devices, that stores U.S. Government information; 						
	non-U.S. Government owned devices, that stores U.S. Government information; 7) any communications or information used, transmitted, or stored on U.S. Government information systems may be used or disclosed for any lawful government purpose, including but not limited to, administrative purposes, penetration testing, communication security monitoring, personnel misconduct measures, law enforcement, and counterintelligence inquiries; and						
	8) you may not process or store classified national security information on this computer system.						

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Last updated on 12/11/2015

https://ofmext.epa.gov/GAP_Online/

Submit your draft work plans and budget directly to your EPA Project Officer.



Register GRANTS.GOV

GRANTS.GOV" PIRO APPAY BUCCERD" HOME LEARN GRANTS' SEARCH GRANTS APPLICANTS - GRANTORS	SEARCH Grant Opportunities 🔽 Enter Keynor	IPTIONS REGISTER LOOR d
	Interview of the second	
Register as in municipal epischer basedy for grant ensure the opportunity is doen to individuals. Learn about the individual Registration process -	ar an organization projects the steps for grant and SAM registration are required as A DUM Trippinization Registration process .	Or Applicants Applicant Support & Resources

COMMULT: W Twitter @ NSS @ XMULLCanad @ Uboger @ Git Adde Header Car HEALTH & HUMAH SERVICES: HHS.gov | EEOC / No Four Act | Accessibility | Privacy | Site Map COMMULTY: VSB.gov (Mehictone gov | USAgencing gov | SDA.gov | CPDA.gov | SAM.gov | DUNS Request 🚱 Report Prace



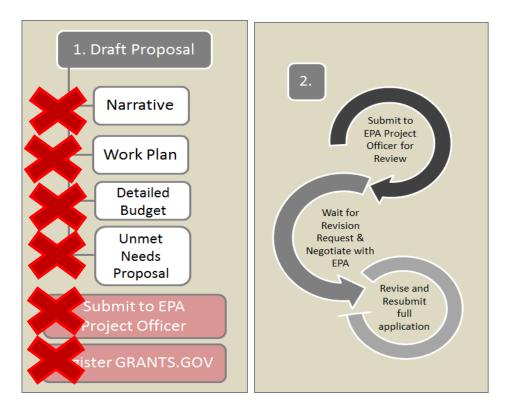
Contact Center

- Support available 24/7; closed on Federal holidays
- Email: support@grants.gov
- Toll-Free Phone Number: 1-800-518-4726
- International Callers: 1-606-545-5035
- Grants.gov Self-Service Web Portal: <u>https://grants-portal.psc.gov</u>

Additional Resources

- Applicant User and Registration Guides: <u>http://www.grants.gov/web/grants/applicants/applicant-tools-and-tips.html</u>
- FAQs: <u>http://www.grants.gov/web/grants/applicants/applicant-faqs.html</u>

Next Steps



Your Project Officer will contact you to negotiate revisions to your work plan and budget.

Applicants who are not responsive to revision requests or who do not make requested revisions after three documented requests will not be awarded a GAP Grant.

FY17 Funding Announcement

QUESTIONS????

Oxcenia O'Domin Tribal Environmental Program Associate P: 907-729-3492 | F: 1-888-500-1205 | orodomin@anthc.org

Desirae Roehl Tribal Environmental Program Manager P: 907-729-3496 | F: 1-888-500-1205 | droehl@anthc.org

